



PATENT
ATTORNEY DOCKET NO.: 041465-5037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventors: Kaoru YAMAMOTO, Akihiro TOZAKI, Takao SAWABE,
Ryuichiro YOSHIMURA, Yoshiaki MORIYAMA, and Junichi YOSHIO

For: INFORMATION RECORD MEDIUM, APPARATUS FOR RECORDING THE SAME AND
APPARATUS FOR REPRODUCING THE SAME

1. This new application is for a:
☒ Utility ☐ Design ☐ Plant

2. Papers enclosed which are required for a filing date:

63 Pages of specification including
5 Pages of claims and
1 Page of Abstract
16 Sheets of ☒ FORMAL ☐ INFORMAL drawings containing
16 Figures

☐ The enclosed drawing(s) are photograph(s), and there is also attached a
PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)

3. Combined Declaration and Power of Attorney

☒ Enclosed - and is executed by all inventors
☐ Not Enclosed

This application is being filed under the provisions of 37 C.F.R. §1.53(d). Applicants
awaits notification from the Patent and Trademark Office of the time set for filing the
Declaration and paying the filing fees.

4. Language

☒ English
☐ Non-English

This application is being filed in accordance with 37 C.F.R. §1.52(d) and §608.01 of the
MPEP. Applicant(s) await notification from the Patent and Trademark Office of the
time set for filing the verified English translation and the processing fee.

5. Assignment

☒ is attached and Assignment of the invention is to:

PIONEER ELECTRONIC CORPORATION

☒ also enclosed is the Form PTO 1595, Recordation Form Cover Sheet.

6. Certified Copy

Application from which priority is claimed is:

Country	Application No.	Filed
Japan	8-66403	22 March 1996

Certified copy ☒ is attached ☐ will follow

7. Fee Calculation

CLAIMS AS FILED				
	Number Filed	Number Extra	at Rate of	Basic Fee Utility\$770.00 Design\$320.00
Total Claims (37 CFR 1.16(c))	9 - 20 =		\$ 22.00 each=	+
Independent Claims (37 CFR 1.16(b))	3 - 3 =		\$ 80.00 each=	+
Multiple dependent claim(s), if any (37 CFR 1.16(d))			\$260.00	+
SUB-TOTAL =				\$770.00
Reduction by 1/2 for filing by a small entity				- \$
TOTAL FILING FEE =				\$770.00

8. Small Entity Statement(s)

- ☐ Verified Statement(s) that this is a filing by a small entity under 37 C.F.R. §1.9 and §1.27 is(are) attached.

9. Fee Payment

- ☐ Not Enclosed.

NO FEE IS BEING PAID BY CHECK OR DEPOSIT ACCOUNT AT THIS TIME.

This application is being filed under the provisions of 37 C.F.R. §1.53(d). Applicants await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.

- ☒ Enclosed.

A check in the amount of \$940.00 representing the filing fee of \$770.00, an assignment recording fee of \$40.00, and a petition for correction fee of \$130.00 is enclosed.

Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 CFR §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 13-4520.

10. Additional papers enclosed.

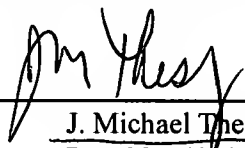
- ☐ Preliminary Amendment
☒ Petition For Correction of Inventors' Names
☐ Information Disclosure Statement
☐ Form PTO-1449
☐ Citations

Please accord an application number and filing date.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

By: _____


J. Michael Thesz
Reg. No. 40,354

Dated: March 21, 1997

MORGAN, LEWIS & BOCKIUS LLP
1800 M Street, N.W.
Washington, D.C. 20036
(202)467-7000

**PLEASE ACCORD THIS NEW U.S. PATENT APPLICATION
AN APPLICATION NUMBER AND FILING DATE**

ATTN: BOX PATENT APPLICATION

New U.S. Patent Application of: Kaoru YAMAMOTO, Akihiro TOZAKI,
Takao SAWABE, Ryuichiro YOSHIMURA, Yoshiaki MORIYAMA,
and Junichi YOSHIO

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APPLICATION NO.:

08/821424



Dated: March 21, 1997

Attorney Docket No.: 041465-5037

JMT/jrd